

1 **Climate preconditions the Critical Zone:**

2 **Elucidating the role of subsurface fractures in the evolution of asymmetric topography**

3
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9
10 **Abstract**

11 In recent years, intensive investigation of the deep critical zone has highlighted the role
12 that the formation and propagation of rock fractures plays in governing the evolution of critical
13 zone architecture. Competing hypotheses invoke an interplay between tectonic and topographic
14 stresses that enhance the potential for fractures or call on climatic variations to drive feedbacks
15 among rock fracture, subsurface hydrology, and weathering reactions. To elucidate the processes
16 responsible for the development of asymmetric hillslope topography and critical zone structure,
17 we combine borehole observations of subsurface fractures and geochemical profiles with
18 subsurface P-wave velocities modeled from seismic refraction surveys at the Shale Hills Critical
19 Zone Observatory in central Pennsylvania. Our results reveal that P-wave velocity profiles are
20 consistent with subsurface fracture densities and chemical depletion fronts observed in
21 boreholes. Simple models of frost cracking show that asymmetric fracture distributions could
22 have been achieved during past periglacial climates. Moreover, coincidence of differences in
23 fracture density, hillslope gradient, regolith depth and regolith transport efficiency with

24 topographic aspect implies that mechanical damage arising from microclimatic conditions
25 governed the long-term architecture of the Critical Zone and is manifest today in asymmetric
26 hillslope topography.

27 **Significance Statement**

28 Climate controls landscape evolution by governing moisture availability for dissolution
29 reactions and transport processes, as well as temperature conditions for weathering kinetics and
30 cracking via thermal expansion and ice jacking. These processes conspire to set the depth and
31 structure of the critical zone. We combine geophysical observations with direct borehole
32 observations of bedrock fractures, and compare these observations to predictions of frost-
33 cracking depth and intensity derived from modeled Pleistocene temperatures. Results show that
34 depth distributions of shallow subsurface fractures correspond to major transitions in p-wave
35 velocities with depth, and can be predicted using 1D frost cracking models. Our results further
36 suggest that aspect-related asymmetries in regolith depth and hillslope gradient are maintained
37 by ancient and persisting differences solar radiation.

38 **Introduction**

39 Climate has long been recognized as a governor of landscape evolution (1,2).
40 Temperature fluctuations modulate diffusive processes acting along and within the interface
41 between the solid and fluid earth; both chemical weathering of rock and soil (3-5) and dilational
42 regolith transport via frost heave and wetting-drying cycles (6,7) exhibit a dependence on
43 temperature. Moreover, variations in surface runoff drive sediment transport and channel
44 incision (8-11), which in turn sets the boundary condition at the base of hillslopes. Although the
45 interplay between these diffusive and advective regimes explains first-order characteristics of the

46 physical structure and evolution of landscapes (12), many of the details linking physical erosion
47 and chemical weathering (13, 14) remain unanswered (e.g., 15-17).

48 Recently, workers have begun to recognize the importance of the development of rock
49 fractures as pathways that guide meteoric water infiltration and facilitate chemical weathering in
50 the subsurface (18-21). Fractures also provide void space for biological systems to take hold,
51 furthering weathering through chemical and physical processes (22-25). Microfractures can also
52 grow in massive bedrock via Fe-bearing mineral dissolution, as low-density HFO phases replace
53 primary minerals *in situ* (26, 27). Temperature fluctuations and frost-cracking are well-known to
54 drive fracture propagation (7, 28), where water in the shallow subsurface breaks down bedrock
55 by expansion during segregation ice growth. Finally, workers have begun to explore feedbacks
56 between shallow stress perturbations set up by topography, tectonic stress and fracture growth
57 (29); recent studies argue that topographically induced stresses (e.g., 30) promote systematic
58 variations in critical zone depth that co-varies with topography (31).

59 Geophysical techniques have long been exploited to visualize moisture patterns and fluid
60 pathways in the shallow subsurface (32), but recent years have seen a resurgence in the
61 application of seismic wave propagation in the shallow subsurface to characterize the transitional
62 boundaries between fresh and weathered bedrock (33). Because seismic velocities are dependent
63 on the elastic properties (bulk and shear moduli) of Earth materials, in the shallowest parts of the
64 crust, they provide constraints on in-situ mineralogy, bulk density, and porosity. Variations in P-
65 wave velocity have been shown to correspond with fracture density and distribution in the
66 critical zone (e.g., 33-35), and these data comprise the observational foundation for inferences
67 relating rock fracture to hillslope stability (e.g., 36) and topographically induced stress fields
68 (e.g., 31).

69 In this contribution, we combine subsurface observations from borehole and shallow
70 seismic surveys to evaluate the hypothesis that microclimate variations govern deep critical zone
71 structure and evolution in the Shale Hills Critical Zone Observatory (SSHO). Here, we define
72 the critical zone as the regions of Earth's surface reaching from the tree canopy to depth of
73 unweathered bedrock. Our previous research at the SSHO site demonstrated that systematic
74 differences in the efficiency of dilational regolith creep on poleward- and equatorial-facing
75 hillslopes can explain asymmetries in hillslope gradient, regolith thickness, and meteoric ^{10}Be
76 inventories (37). However, the question of whether such differences in efficiency could be
77 sustained over geologic timescales remains unanswered. Here, we characterize the subsurface
78 distribution of fracturing in the shale bedrock underlying the observatory and evaluate whether
79 fracture distributions vary with hillslope aspect. We show how relatively subtle differences in
80 thermal forcing driven by insolation on poleward- and equatorial- facing hillslopes could drive
81 differences in the depth of frost cracking by segregation ice. Moreover, we show that these
82 differences are not likely to be significant under present climates, and we infer that differences in
83 fracture depth must be the legacy of periglacial conditions during previous glacial climates. Our
84 results thus reveal a sensitive interplay between global climate, topography and microclimate
85 that govern fracture propagation and facilitate the advance of weathering fronts. We suggest that
86 preconditioning of the Critical Zone by ancient climate regimes may be a significant factor in
87 mid-latitude and alpine regions globally.

88

89 **Critical Zone Architecture at the Shale Hills CZO**

90 The Shale Hills Critical Zone Observatory (SSHO) is located in the Valley and Ridge
91 physiographic province of the Appalachian Mountains and currently experiences a temperate

92 climate (MAP ~1 m/yr, MAT ~ 10°C, 38). SSHO is comprised of three, small *en echelon*
93 catchments (~ 8 ha each), developed entirely on the Rose Hill Shale, an Fe-rich, organic-poor
94 shale deposited within the Appalachian Basin during Silurian Period (39). The SSHO watersheds
95 are headwater catchments, bounded by ridges to the north and south, each containing westward-
96 flowing ephemeral streams (Figure 1). Hillslopes within the SSHO exhibit a pronounced
97 topographic asymmetry, with north-facing slopes that are systematically 5-10 degrees steeper
98 than their south-facing counterparts (37, 41); relief on these hillslopes is typically ~30 m. Field
99 observations reveal that augerable regolith is thicker on north-facing hillslopes than south-facing
100 hillslopes (37, 41, 42) and is underlain by a discontinuous layer of open-framework talus.
101 Despite this asymmetry in hillslope gradient and regolith thickness, sediment fluxes determined
102 from accumulation of meteoric ¹⁰Be within regolith (41) are similar on both north- and south-
103 facing hillslopes (37); these relationships require that the efficiency of downslope transport is a
104 factor of 2 greater along south-facing hillslopes. In modern climates, hillslopes in the SSHO
105 experience more frequent freeze-thaw cycles on south-facing slopes, and these events appear to
106 explain both differences in transport efficiency (37) and the isotopic composition of soil
107 porewaters (43).

108 In the subsurface, mineralogical observations taken from a deep borehole on the north
109 ridge of the SSHO catchment (DC-1, Figure 1) reveal a series of nested weathering fronts
110 extending from ~ 6m below ground surface, where feldspar first appears, to ~ 20m below ground
111 surface, where pyrite and carbonate minerals appear (20, 44, 45). Optical televiewer logs from
112 four wells located near the valley outlet reveal considerable fracturing in the upper 6 m, but
113 below this depth, fracture density decreases toward the bottom of the holes at ~16 m (20, 30, 46).
114 Together, the observations of asymmetric topography and regolith transport efficiency, coupled

115 with the apparent association between weathering fronts and fracture density, begs the questions
116 of what process drives fracture propagation beneath the SSHO watersheds, and how fracture
117 distribution and regolith thickness vary with landscape position.

118 One potentially important consideration is that the central Appalachians have experienced
119 a long history of freeze-thaw cycling, driven by periglacial climates associated with repeated
120 glacial advances over the past ~2 Ma. During the Last Glacial Maximum (LGM, c. 21 ky), for
121 example, the Laurentide ice margin was located ~75 km north of SSHO. Hillslope soils
122 developed during this time are inferred to record vigorous stirring and production of coarse
123 detritus via frost shattering (47, 48). Similarly, widespread fields of coarse, angular talus (up to
124 1m in diameter) that drape hillslopes beneath quartzite ridges throughout the region appear to
125 have developed over multiple glacial cycles (49). Colluvial wedges of coarse, subangular debris
126 are commonly found along toe slopes; some of these deposits are classified as *greze litees* -
127 layered, unconsolidated deposits of oriented shale fragments inferred to have formed during
128 solifluction (47). Finally, although global scale models of Pleistocene climate suggest conditions
129 conducive to intense frost cracking of bedrock in central Pennsylvania (50), the influence of
130 these fluctuations in setting critical zone architecture has not been intensively investigated in this
131 area.

132

133 **Methods**

134 To measure the depth and distribution of subsurface fractures at SSHO, we employed a
135 combination of shallow seismic surveys along north and south facing hillslopes at SSHO with
136 direct observations of fracture distributions in boreholes. Shallow seismic refraction surveys
137 were conducted on four hillslopes (2 north- and 2 south-facing) and along two ridgetops within

138 and immediately adjacent to the Shale Hills CZO catchment during field campaigns completed in
139 2013 and 2015. Hillslope surveys were collected along linear transects, extending from the upper
140 hillslope (near the ridgecrest) to the toe slope; transects are co-located along geochemical (45),
141 U-series (42, 51), and meteoric ^{10}Be (37, 41) sampling transects (Figure 1). P-wave seismic data
142 were collected using two Geometrics Geode data loggers/seismographs with a laptop controller
143 (Seismodule Controller). In the 2013 campaign, 36 4.5 Hz sensors were used and 48 high-
144 frequency sensors (40 Hz geophones) were used in 2015. We used a 10 lb sledgehammer and
145 steel plate as the seismic source in both campaigns. On hillslopes within the SSHO (both north-
146 and south-facing hillslopes), survey lengths were 96 m, running perpendicular to topographic
147 contours, with 2 m geophone spacing. Ridgetop surveys followed the ridge axes, and extended to
148 115 m long, with a 5 m geophone spacing. Surveys were also collected just outside of the central
149 SSHO watershed (over the ridge axes) to compare velocity structures among multiple hillslopes
150 of north- and south-facing aspect. These surveys were 67 m long with 3 m geophone spacing.
151 Each shot location comprised 5-stacked shots to minimize noise to signal ratio.

152 Seismic velocity inversions were conducted using the Geometrics SeisImager/2D
153 software (Geometrics Inc., and OYO Inc.) and were calibrated against field observations and soil
154 pit measurements. First arrival travel times for all seismic traces were manually picked
155 (Supplemental Materials Figure 1). From these first-arrivals, multi-layer initial models were
156 constructed based on a simple time-term inversion of the time travel data, in which layer
157 boundaries are visually identified based on pronounced changes in the travel-time slope, and
158 layer velocities are based on least-square linear regressions to observed time travel data
159 (Geometrics Inc.). (Supplemental Materials Figure 2). Time term inversions for all seismic lines
160 produced multi-layer models with upper layer velocities of <1 km/s and lower layer velocities of

161 > 2km/s (Supplemental Materials Figure 3). These initial models were then used for
162 tomographic calculations. Models were verified against p-wave velocity profiles measured along
163 exposed walls of soil pits completed near the toe slopes of the north and south facing slopes in
164 the SSHO watershed, where layer thicknesses could be measured (Supplemental Materials
165 Figure 5).

166 To directly measure fracture density, we mapped subsurface fractures in 8 boreholes
167 using an optical televiewer. OTV logs were completed for four deep boreholes (~16 m) located
168 in the SSHO valley floor (CZMW 1-4, previously described in Slim et al., 2014), three shallow
169 boreholes located along the SSHO ridgelines (CZMW 5-7, ~6 m deep), and one deep borehole
170 located on the southern ridgeline of SSHO (~24 m deep). Fractures were identified from OTV
171 images in WellCad (Advanced Logic Technology), a software program that also measures
172 fracture dip angle and azimuth. Detailed descriptions of fracture dips and orientations can be
173 found in Sullivan et al. (2017, 20). Downhole geophysical data was collected for the deep
174 borehole completed on the southern ridge of the catchment (CZMW8, Figure 1). Geophysical
175 logs were collected using a MGX II and Matrix acquisition system (Mount Sopris Instrument
176 Company) and include natural gamma, fluid temperature, specific conductance, 3-arm caliper,
177 short and long resistivity, neutron, single point resistance, spontaneous potential, optical
178 televiewer, acoustic televiewer, density and sonic data (Supplemental Materials, Figure 4).

179

180 **Imaging Critical Zone Architecture**

181 **Seismic Surveys**

182 Seismic refraction surveys were completed along north- and south-facing hillslopes at
183 SSHO in order to elucidate the structure of the critical zone. All seismic tomographic inversions

184 reveal a thin, low velocity ($\sim 0.2 - 0.6$ km/s) layer in the upper decimeters of the SSHO
185 subsurface (Figure 2). This layer is immediately underlain by a zone characterized by steep
186 velocity gradients, ranging from 0.6 km/s to ~ 2 km/s over depths of 2-4 m. Beneath this
187 “gradient” zone lies a distinct transition at $\sim 6-8$ m depth that separates a region of uniform and
188 fast velocities at depth from the region of steep velocity gradients within the near-surface (Figure
189 2).

190 Although the depth distributions of seismic velocity described above are observed along
191 all sample transects, we find differences in the depth of low-velocity material on hillslopes with
192 varying aspect. Refraction surveys consistently show that the velocity gradient along south-
193 facing hillslopes is distributed over a relatively narrow depth range from ~ 0.5 m – 4 m depth,
194 and the lowest-velocity layer is only observed in the uppermost $0.3 - 0.8$ m. In contrast, along
195 north-facing hillslopes, the region of low velocities near the surface is considerably thicker,
196 approaching ~ 2 m, and the region of steep velocity gradients spans a greater depth range, from
197 ~ 2 to 10 meters. This region appears to slightly thicken upslope toward the ridgecrest within the
198 Shale Hills Catchment. These patterns emerge regardless of the initial condition assumed for the
199 tomographic inversion (Figure S4), and thus they appear to represent robust characterizations of
200 subsurface velocity structure.

201 *In situ* velocity measurements collected at hand-excavated soil pits within the SSHO
202 reveal that P-wave velocities through soils range from $0.27 - 0.43$ km/s and range from $2.12 -$
203 3.57 km/s through intact shale bedrock (Figure S5). P-wave velocities measured through SSHO
204 bedrock correspond well with reported P-wave velocities for intact shale from laboratory
205 experiments (2.1 km/s - 2.7 km/s, 52), suggesting that the observed regions with P-wave
206 velocities > 2 km/s at SSHO represent competent, intact bedrock. Similarly, P-wave velocities

207 reported for soils and unconsolidated sediments range from 0.4 – 1.0 km/s (34), suggesting that
208 zones of observed P-wave velocities <1 km/s at SSHO indeed represent regolith at or near the
209 surface. Comparison of these velocity zones with auger thicknesses reported in West et al. (37,
210 41) and soil pit observations suggests that P-wave velocities less than 0.7 km/s correspond to the
211 layer of regolith that is mobile under the current climate and vegetation regimes. Overall, P-
212 wave velocity profiles at SSHO reveal uniformly thin regolith along south facing slopes, and
213 thicker, more variable regolith depths along north-facing slopes, consistent with hand auger
214 observations reported by West et al. (37, 41).

215

216 **Direct Observations in Boreholes**

217 Optical televiewer (OTV) logs of boreholes completed in the valley floor and along
218 ridgetops at SSHO reveal that subsurface fractures are concentrated in the upper ~10 meters of
219 bedrock (Figure 3, Figure S6). Peak fracture densities occur between 3 m and 8 m depth for all
220 wells located at both valley floor and ridgetop positions (Figure 3). It should be noted that the
221 axis of the valley in the SHHO is buried with 2 – 3 m of alluvial and colluvial material (41),
222 whereas bedrock on ridgetops is covered by only several decimeters of regolith. Therefore, the
223 depth of peak fracture density appears to be relatively uniform, despite regolith and/or alluvial
224 cover. Absolute fracture counts are, however, higher in the valley floor boreholes than in the
225 ridgetop boreholes (Figure 3).

226 Borehole geophysical logs of a deep well completed to 30 m in the south ridge of SSHO
227 reveal that in zones of high fracture density, P-wave velocities are reduced by up to 40% of those
228 recorded through intact shale bedrock (Figure S7). These results suggest that the observed P-
229 wave transition zone in our refraction surveys are likely representative of regions of high fracture

230 density in the SSHO subsurface. The correlation between zones of high fracture density and
231 zones of intermediate P-wave velocity provides compelling evidence that the P-wave transition
232 zone represents a zone of fractured and possibly chemically altered bedrock. Intriguingly, the
233 modern extent of feldspar weathering in the SSHO (45) coincides with depth of maximum
234 fracture densities (Figure 4).

235

236 **Frost shattering and the preconditioning of the SSHO Critical Zone**

237 Our results reveal a striking correlation between the subsurface architecture of the SSHO
238 and the topographic asymmetry in the watershed. As noted above, our seismic surveys confirm
239 that north-facing hillslopes are consistently mantled with thicker mobile regolith than south-
240 facing ones across the SSHO (37). However, our data also reveal that the transitional boundary
241 between mobile regolith and unweathered bedrock exhibits similar variation with hillslope aspect
242 (Figure 2). On north-facing slopes, this transitional unit of intermediate P-wave velocity (0.7 – 2
243 km/s) thickens upslope from ~ 3 m to ~8 m (Figure 2A and C), whereas it is uniformly ~ 3 m
244 thick along south-facing hillsides (Figure 2B and D). These transition zones thin toward the
245 valley bottom along all hillslopes, regardless of hillslope aspect.

246 The correlation between P-wave velocities and fracture densities observed in boreholes
247 suggests that these transition zones reflect the zone of high fracture density, decreasing with
248 depth. Efforts to understand the relationship between shallow rock fracture and topographic
249 stresses (e.g., 29, 30) suggest that focusing of stress along the convex-upward valley bottoms
250 should generate greater fracture densities in bedrock near valley bottoms relative to ridgetops.
251 Although this mechanism may be an explanation for the greater frequency of observed fracture
252 in valley bottom boreholes (e.g., 30), it fails to predict the systematic differences in the depth of

253 the velocity transition along north- and south-facing hillslopes. Similarly, more recent work
254 incorporating regional tectonic stresses into models of stress accumulation in hilly topography,
255 suggests that in regions of compression, subsurface stress will mirror topography, and failure
256 potential highs are deepest under ridges, and shallow under valleys (31). Our observations do not
257 support this hypothesis, as variations in the thickness of the transition layer occur only along the
258 north-facing hillslopes in our study area, and are not observed on any other slope nor under the
259 ridges. Notably, the orientation of shallow fractures in both the valley bottom and the ridgetops
260 are largely sub-parallel with the land surface, which is consistent with either erosional unloading
261 or with cracking during segregation ice growth (53).

262 These results and our previous inference of dilation-driven soil creep lead us to evaluate
263 the potential for subsurface fracture initiation and growth via segregation ice. Ice lenses grow as
264 liquid water held in surface tension (in soil or porous rock) is drawn toward zones of freezing in
265 the shallow subsurface by temperature induced capillary action (18, 28, 53, 54). Under
266 permafrost conditions, segregation ice typically produces surface parallel fractures at the depth
267 of the active layer (53). Although the depth for fracturing is strongly controlled by surface
268 temperatures and the availability of water (7, 28, 55), most modelling efforts predict that frost
269 cracking can be effective to depths greater than 10 meters, peaking between 3 and 10 m in
270 periglacial and permafrost conditions (7, 28, 55).

271 We predict 1-dimensional frost cracking potentials at SSHO for both modern and
272 periglacial climate conditions, using both modern measured surface soil temperature data from
273 SSHO and modeled surface temperature data from the Paleoclimate Modelling Intercomparison
274 Project (phase 3, <https://pmip3.lscce.ipsl.fr/>; 50). Frost cracking predictions rely on the
275 observation that a “frost-cracking window” exists in bedrock at temperatures between -8 and -3

276 °C (56). The product of the subsurface temperature gradient and the time rock spends within the
277 frost-cracking window can be notionally considered as a frost-cracking potential (°C/cm-days)
278 (e.g, 18, 28, 55). 1-dimensional annual thermal gradient models were constructed for SSHO
279 using the equation:

$$280 \quad T(z,t) = MAT + Ta e^{-z \sqrt{\frac{\pi}{\alpha P_y}}} \sin \left(\frac{2\pi t}{P_y} - z \sqrt{\frac{\pi}{\alpha P_y}} \right)$$

281 where T is temperature (°C), t is time, z is depth, MAT is mean annual temperature, Ta is the
282 amplitude in annual temperature swings (°C), α is the thermal diffusivity of rock ($900 \text{ Ccm}^{-2}\text{d}^{-1}$
283 for shale, 57), and P_y is period of the sinusoid (365d). This model assumes exposed rock at the
284 surface, and thus does not account for the insulating effects of soils or snowpack.

285 To model Pleistocene thermal gradients, we used modeled mean annual surface
286 temperatures (50), which for central Pennsylvania are reported between 15 and 20 °C below
287 modern MATs of 10 °C. In order to estimate temperature differences with respect to aspect, as
288 well as potential surface temperature swings, we relied on differences recorded in modern
289 environments proximal to permafrost zones. On bare bedrock exposures near Fairbanks, AK,
290 surface temperatures can vary by up to 4 °C between north and south facing hillslopes (58).
291 Similarly, annual swings in surface temperature have been shown to be affected by aspect. On
292 the Tibetan Plateau, surface soils can span temperature amplitudes of up to 15 °C for north-
293 facing slopes and 17 °C for south-facing slopes (59). Using these modern periglacial analogs
294 along with modeled mean annual temperatures for the late Pleistocene, we conservatively
295 constrain our model values within the boundaries established in the literature, at $-6.5 \pm 12^\circ\text{C}$ for
296 the north-facing slope and $-2.5 \pm 16^\circ\text{C}$ for the south-facing slope at SSHO.

297

298 Our models of frost cracking potential in the modern climate regime, using measured
299 surface temperatures from the north and south hillslopes at SSHO, suggest that frost action peaks
300 at depths less than 1 meter, falling to zero below ~1 m of rock (Figure 5A and B). This result
301 suggests that freezing conditions in the present-day climate are insufficient to generate the
302 observed fracture patterns. However, when we consider likely late Pleistocene temperature
303 conditions, the region of enhanced frost cracking potential extends to ~ 10 m depth and exhibits
304 a distinct maximum between 3 m and 6 m depth (Figure 5C and D). The consistency between
305 predicted frost-cracking during periglacial temperature conditions and observed fracture density
306 patterns in both ridgetop and valley floor boreholes suggest the present-day architecture of the
307 SSHO critical zone reflects the imposition of a prior climate history.

308 Frost cracking also appears to explain differences in the depth of fracturing on hillslopes
309 with opposite aspect. By combining the observed differences in seasonal temperature swings
310 between the north and south facing hillslope at SSHO, and the modeled MAT values for the
311 region during the late Pleistocene, we predict slight differences the depth of fracture penetration
312 with respect to hillslope aspect. Our models suggest that reduced insolation on north-facing
313 slopes leads to lower average temperatures throughout the temperature profile (Figure 5C) that
314 maintain temperatures well within the frost cracking window to somewhat greater depth. We
315 suggest that open-framework layers of shattered rock observed at the regolith/bedrock boundary
316 on north-facing slopes in and around the SSHO (37, 41) are a manifestation of deeper penetration
317 of frost shattering on these slopes. Our results are consistent with previous work suggesting that
318 frost cracking may reach to depths of 10 m at mean annual temperatures of -5°C (28); these
319 conditions were likely representative of periglacial conditions at the SSHO during Pleistocene
320 glacial maxima (50).

321 Our geophysical characterization of the SSHO subsurface provides a physical mechanism
322 that explains linkages between surficial geochemical and geophysical observations and
323 geochemical observations at depth. We argue that frost shattering during periglacial conditions
324 at the SSHO “pre-conditioned” rocks in the near subsurface by generating a network of fractures,
325 that were subsequently exploited by shallow subsurface flow during the transition to warmer
326 conditions during the late Pleistocene and Holocene. Along south-facing slopes, more frequent
327 thawing events provided an efficient mechanism to transport coarse debris produced by frost
328 shattering down hillslopes to the channel, where they could be conveyed out of the watershed.
329 Conversely, on north-facing slopes, frost-shattered debris remained frozen, relatively immobile,
330 and available for continued frost action. On both north- and south-facing hillslopes, fractures
331 generated by ice provided pathways for penetration of meteoric fluids to depth, and may have
332 dictated the initial depth of chemical reaction fronts. Given sufficient time, and subject to
333 similar asymmetries in regolith transport efficiency during interglacial periods (37), these
334 processes appear to have conspired to drive hillslopes toward their current topographic
335 asymmetry. Our results thus reinforce the notion that asymmetry in Critical Zone architecture
336 co-evolves with landscape topography as a consequence of microclimatic conditions (7).

337 Our results also provide a plausible explanation for global observations of topographic
338 asymmetry in mid-latitudes. Intravalley asymmetry is ubiquitous along the Western Cordillera
339 between ~15 and 50 degrees latitude (e.g., 60), characterized by polar-facing hillslopes that stand
340 steeper than their equatorial-facing counterparts. Our results suggest that these first-order
341 features of global topography likely owe their origin to the interplay among microclimate, rock
342 shattering, and erosional efficiency sustained throughout the glacial/interglacial cycles of the
343 Pleistocene.

344

345 **Conclusions**

346 Geophysical surveys provide key insights into how processes occurring in Earth's
347 shallow subsurface are fundamental to the structural evolution of the critical zone. Our results
348 suggest that fracturing in the SSHO subsurface played an integral part in the physical and
349 chemical evolution of the watershed. P-wave velocities reveal systematic differences in patterns
350 of regolith and fracture thickness with respect to aspect at SSHO. The depth and spatial
351 distribution of inferred fracture density suggest that shattering of rock during periglacial
352 conditions of the Pleistocene likely primed the hillslopes at SSHO for physical and chemical soil
353 development during Holocene time. Fracturing during frost cracking events perhaps even was a
354 necessary driver for the observed aspect-related topographic asymmetry and differences in
355 chemical weathering rates on north and south facing hillslope at SSHO. It seems clear that the
356 legacy of climate change and its impact on the landscape exerts a first-order control on the
357 modern architecture and functioning of the Critical Zone.

358

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364

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535 **Figure Captions**

536 Figure 1. Slope map of the SSHO created from high resolution LiDAR topography
537 (pasda.psu.edu), showing topographic asymmetry, with steeper north-facing slopes than south-
538 facing slopes. Black lines correspond to seismic survey locations across the north and south
539 ridges at SSHO. Borehole locations indicated by white circles.

540

541 Figure 2. Modeled P-wave tomography of the north and south facing hillslopes in and adjacent
542 to the SSHO. P-wave results show thin low velocity units on south facing slopes that are thicker
543 on their north facing counterparts. Thick black lines mark the 2.0 km/s contour, and thin black
544 lines mark 0.6 km/s contour.

545

546 Figure 3. Probability density plots of fracture occurrence in all 8 wells at SSHO. Warm color
547 lines indicate ridgetop well fracture probabilities (red field shows sum of all ridgetop wells),
548 while cool color lines show valley well probabilities (blues field shows sum of all valley wells).
549 Thick black line shows the summed probability of all fracture occurrences with depth. Plots
550 show peak fracture densities between 3 m and 6 m in both valley and ridgetop wells; however,
551 fracture frequency is higher in the valley wells. CZMW8 is excluded as the well is cased to 18m,
552 and no fracture counts could be collected to that depth.

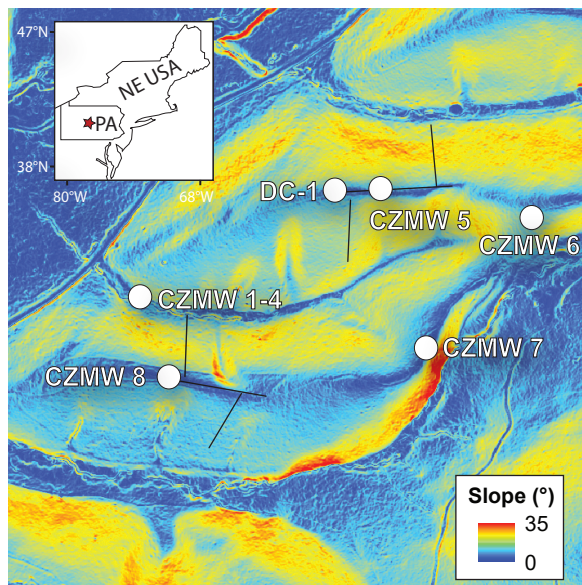
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554 Figure 4. Depth profiles of average modeled 1D P-wave velocities proximal to the CZMW8
555 borehole on the south ridge of SSHO (blue line) with tau values for Mg (green circles) and K
556 (purple circles) for from chips collected during drilling of CZMW8. Stacked histograms of
557 fracture counts from shallow wells shown in red.

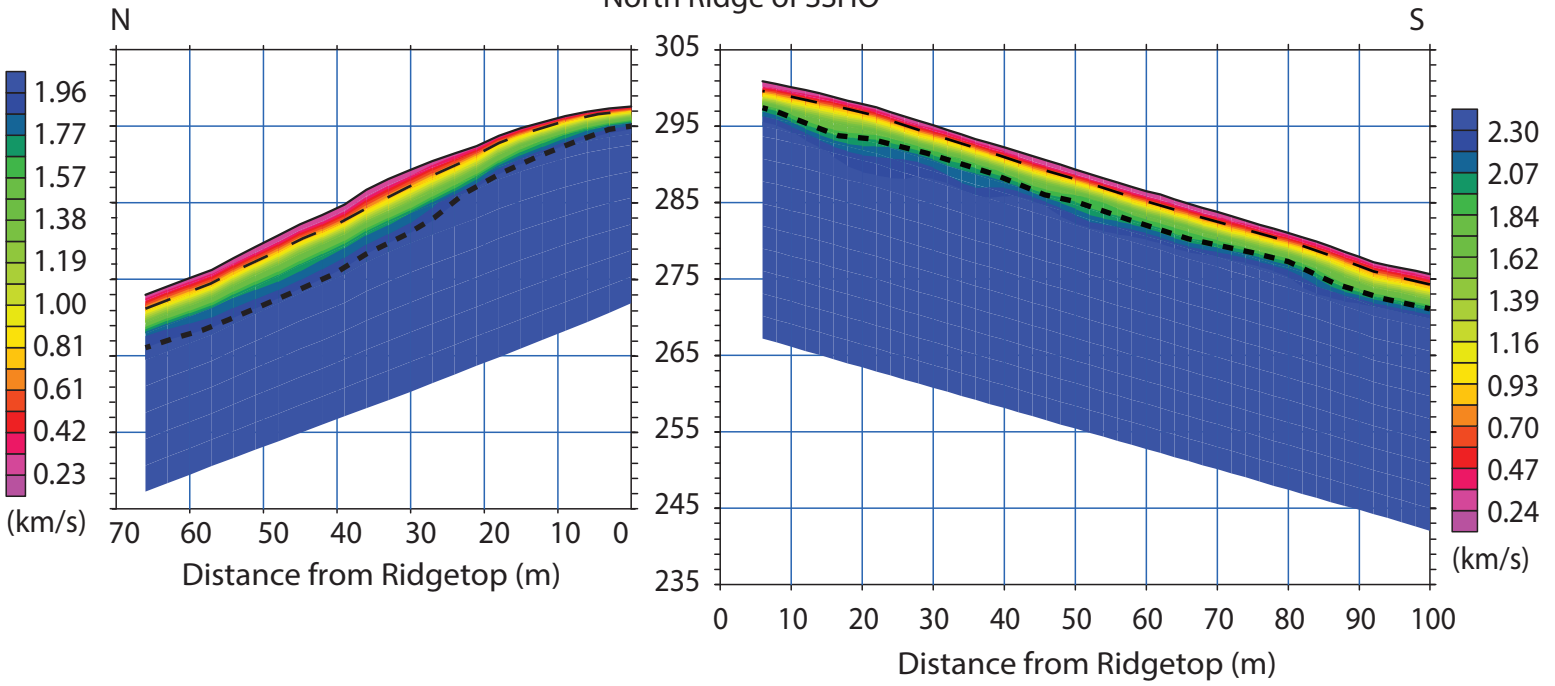
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559 Figure 5. A) Thermal gradients in bedrock under modern temperature conditions at SSHO. MAT
560 and temperature amplitudes are derived from measured surface soil temperatures for the north
561 and south facing slopes in the SSHO watershed (blue and red lines, respectively; CITE) B) Frost-
562 cracking potentials under modern temperature conditions at SSHO for north and south facing
563 slopes (blue and red lines, respectively). C) Thermal gradients in SSHO subsurface under
564 assumed temperature conditions for SSHO under periglacial climate. MATs derived from PMIP
565 model outputs for 20 ka, and temperature amplitudes are estimated from modern periglacial soil
566 data (CITES, see supplemental?) D) Frost-cracking potentials under assumed periglacial
567 temperature conditions.

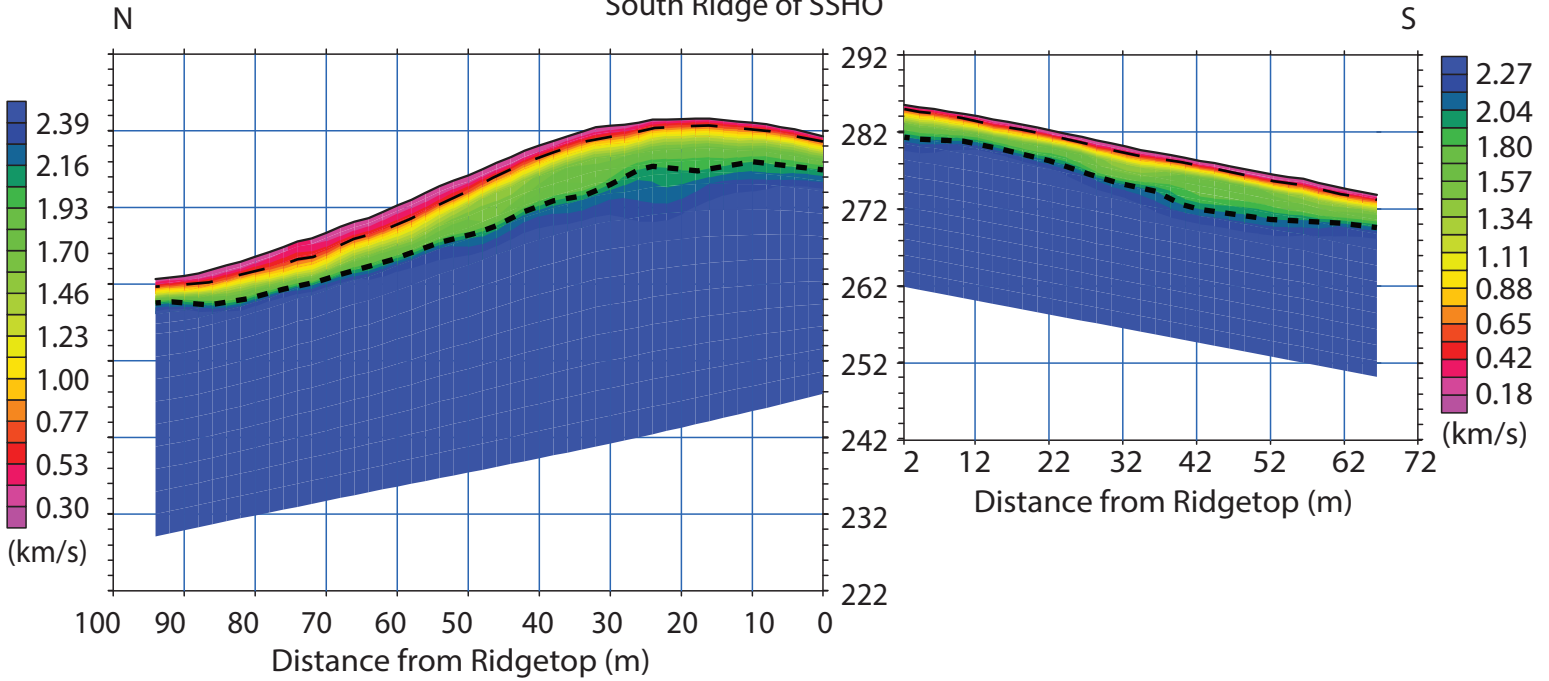
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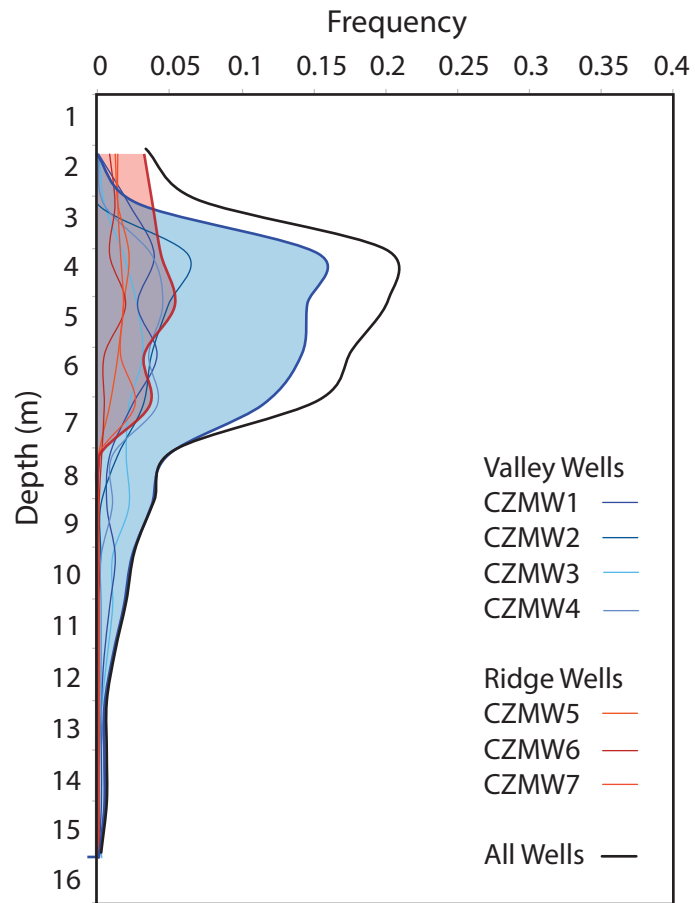


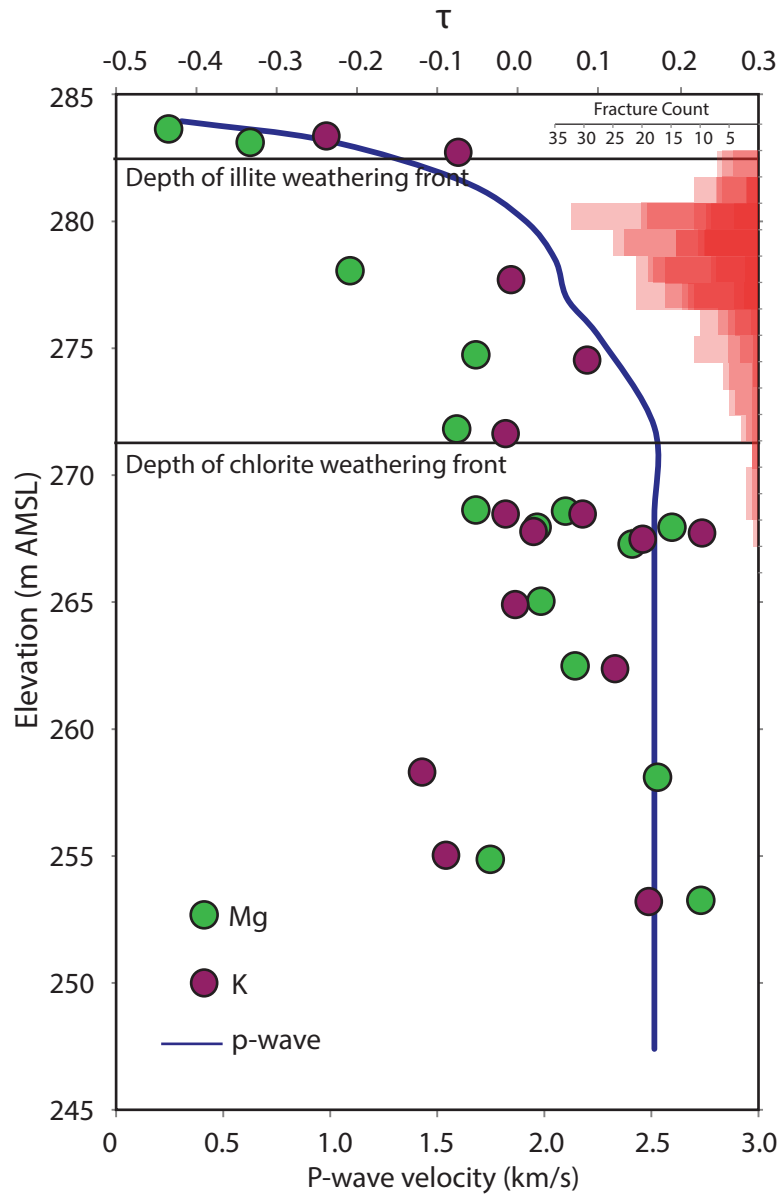
North Ridge of SSHO

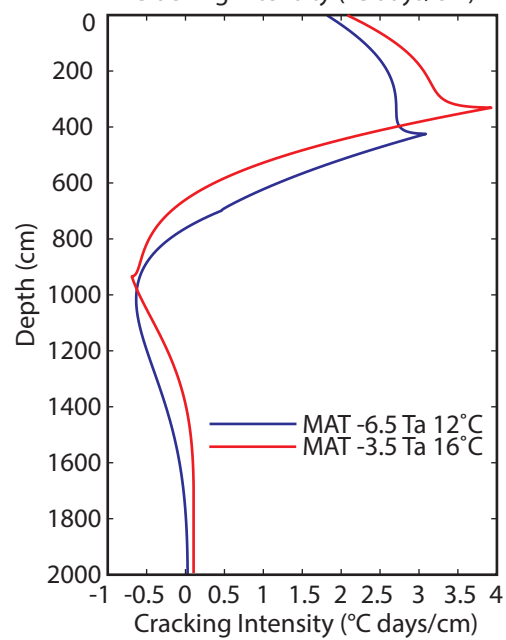
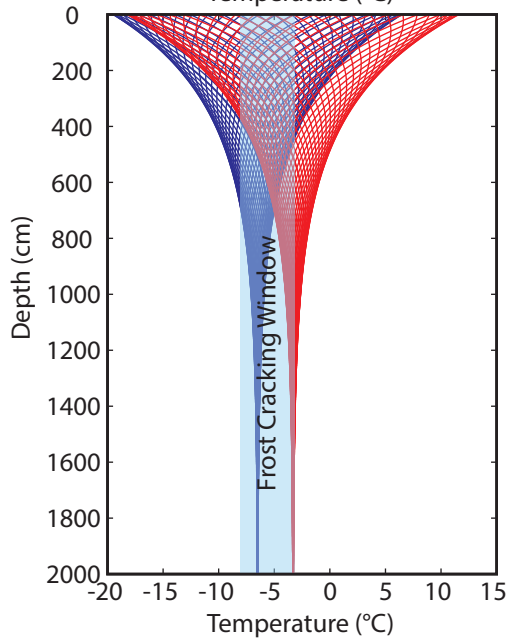
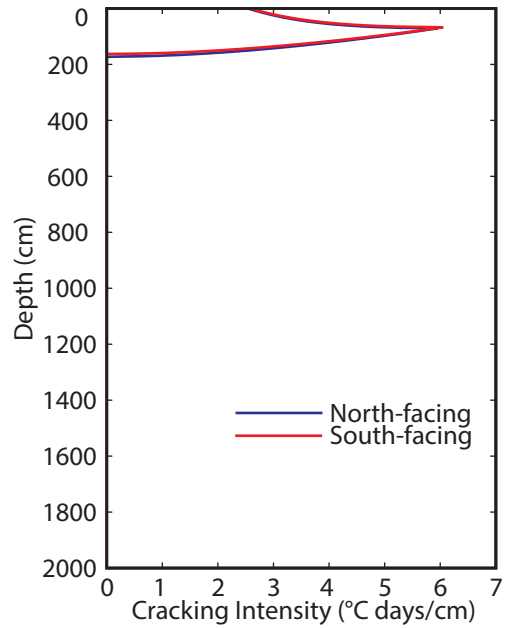
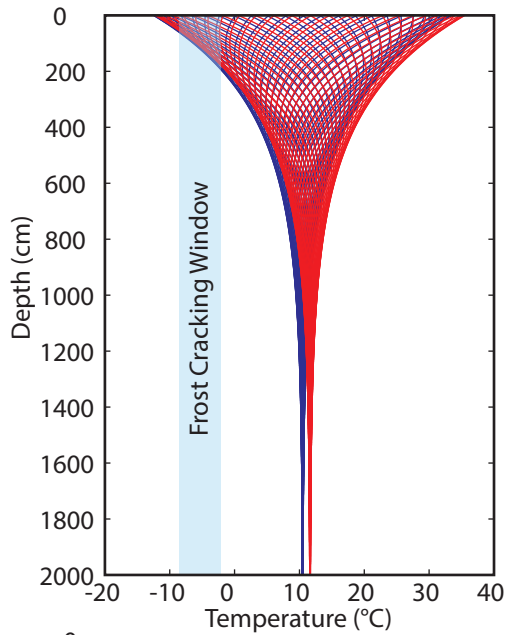


South Ridge of SSHO









Supplemental Materials

Analysis of geophysical data

Shallow p-wave refraction survey data was processed using SeisImager/2D software by Geometrics, Inc. First returns were handpicked using the Pickwin module (Figure S1) and results were imported into the Plotrefa module for travel time and (Figures S2, S3). We evaluated multiple starting models, implementing both linear and layered models to address the sensitivity of the tomographic inversion results to initial conditions (Figure S4). Root mean squared errors (RMSE) for all starting models fell between 2.09 and 2.21 ms, with the lowest RMSE recorded for the layered models. The depth of the 2.0 km/s line varied slightly between models, appearing at greater depths where we imposed a linear gradient in p-wave velocity with depth. In these cases, the depth of the 2.0 km/s line fell between 6 and 8 m, deepening with increasing depth to lowest layer (DTTL). Depth to the 2.0 km/s line in the layered model crosses between 4 and 5 m. Depth to the 0.6 km/s line remained at just under 2 m for all models. These differences do not significantly affect our interpretations of fracture depths in the SSHO subsurface.

***In situ* velocities**

Numerous shallow pits were completed at SSHO to or near the fractured bedrock surface, providing the opportunity to observe p-wave velocities through known depths of soil and rock. From these measurements, we see that at the base of the south-facing slope of SSHO, there is a 62 ± 25 cm thick layer of soil with a p-wave velocity of 0.32 ± 0.03 km/s on top bedrock with a velocity of 2.85 ± 1.02 km/s (n=2). In the pit completed on the north-facing slope of SSHO, we find a 1.39 ± 0.19 m thick colluvial layer with a p-wave velocity of 0.34 ± 0.01 km/s overlying bedrock with p-wave velocities of 2.43 ± 0.75 km/s (n=9). Transition depths were calculated

assuming a two layer system with a horizontal contact. Examples of the travel time curves are shown in Figure S5.

Borehole Observations

Fracture densities were recorded with depth in 7 boreholes completed at SSHO, using optical televiewer imaging (Figure S6). Optical televiewers utilize a 360° camera and can record the depth dip and azimuth of fractures. Total counts per unit depth were used to calculate fracture occurrence probabilities in the wells with respect to depth. CZMW8, the deep well completed on the south ridge of the SSHO catchment was cased to 18 m, and was therefore omitted from fracture analysis. However, downhole seismic data shows that in zones of high fracture density, seismic velocities decrease nearly 40% (Figure S7).

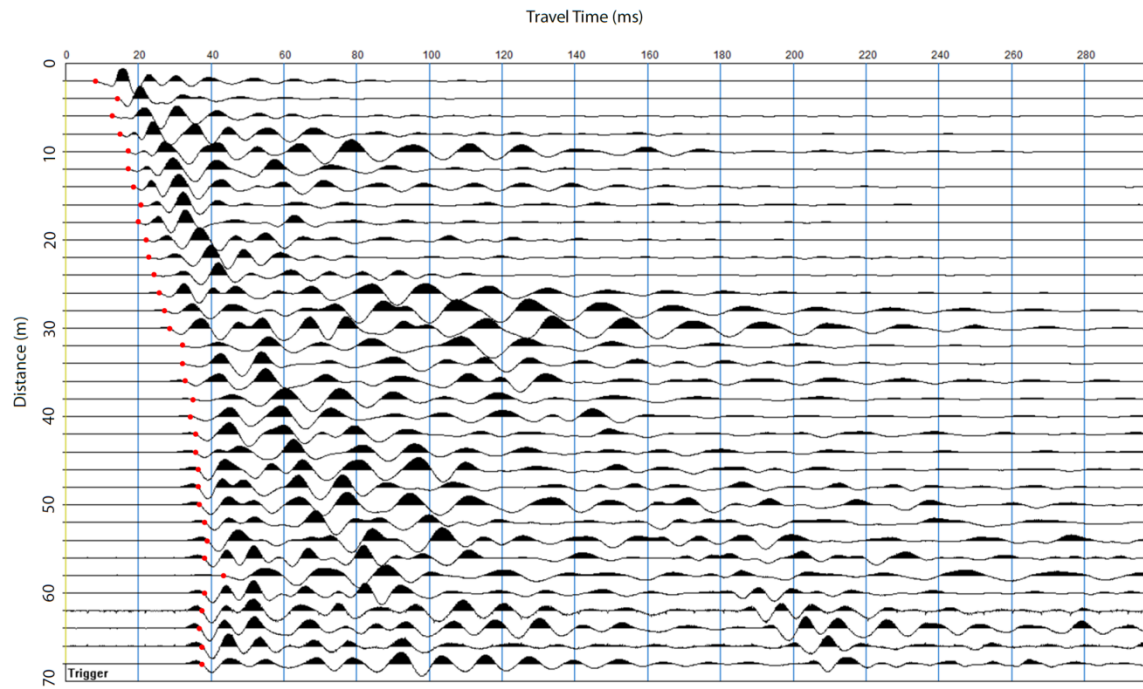


Figure S1. Example of seismic waves in the SSHO subsurface. Y axis shows distance from shot point in meters and x axis show travel time in milliseconds. Red dots indicate the hand-picked first returns used to calculate the initial P-wave model. The wave pattern shown here is characteristic of increasing P-wave velocities with depth observed throughout SSHO.

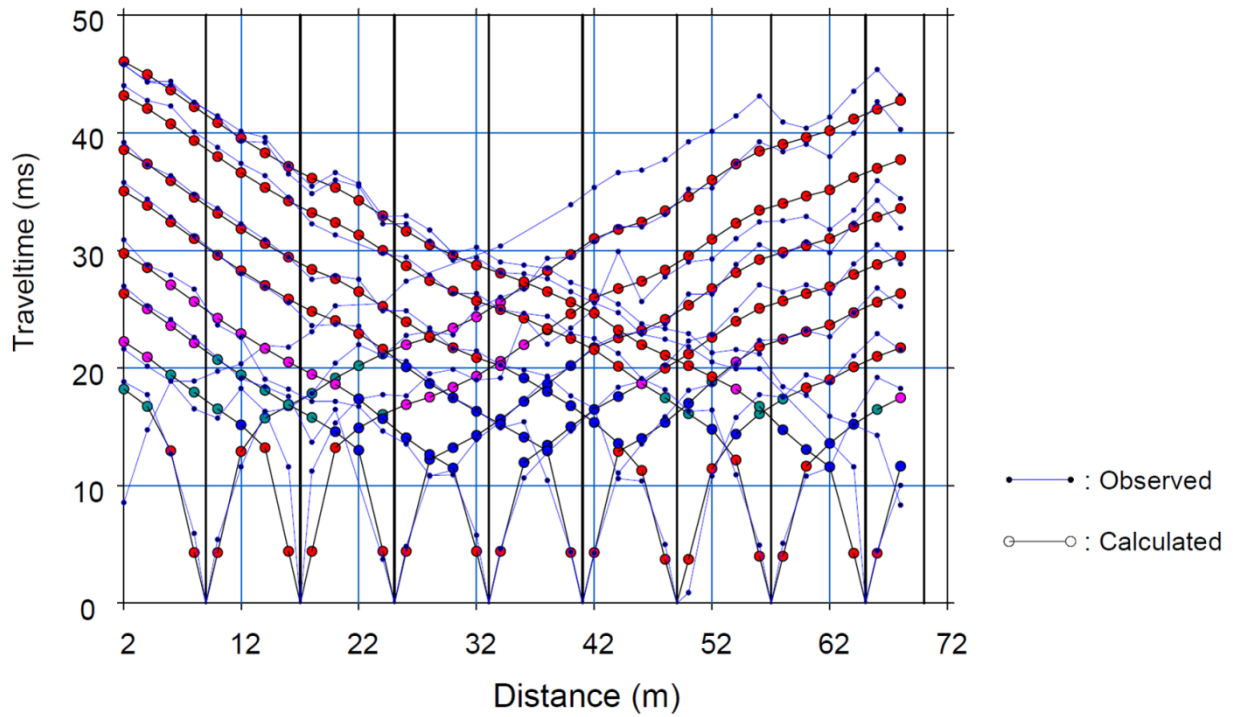


Figure S2. Example travel time curves calculated from first returns picked for the south facing hillslope within SSHO. The thin blue lines correspond to observed (picked) values and the thick black lines correspond to model calculations based on observations. The slope breaks indicate velocity transitions between subsurface layers. These breaks inform depths and velocities of layered initial models.

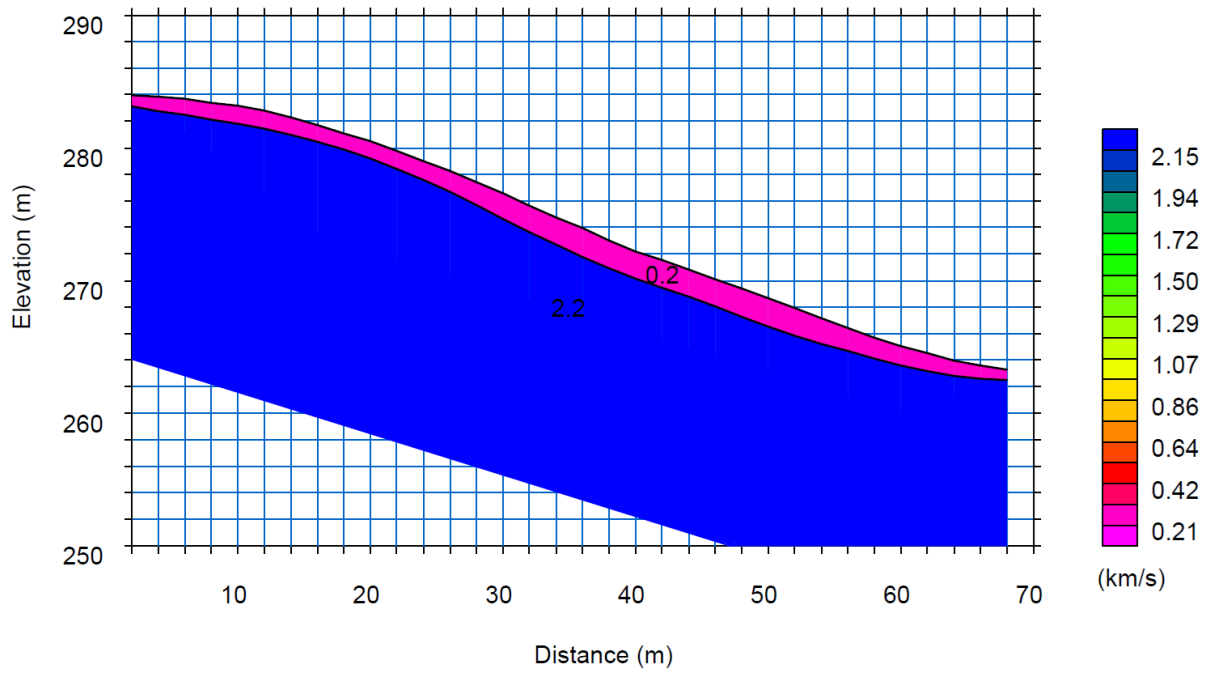


Figure S3. Example of an initial layered model for the north-facing hillslope within SSHO. The model was produced from travel time slope breaks similar to those pictured in Figure S2.

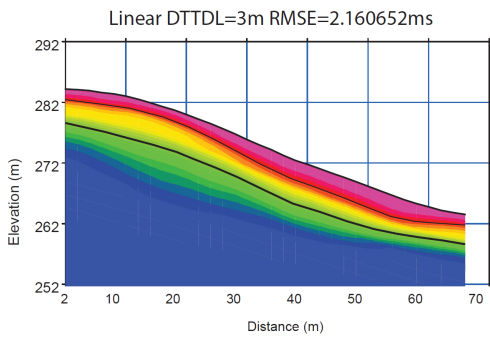
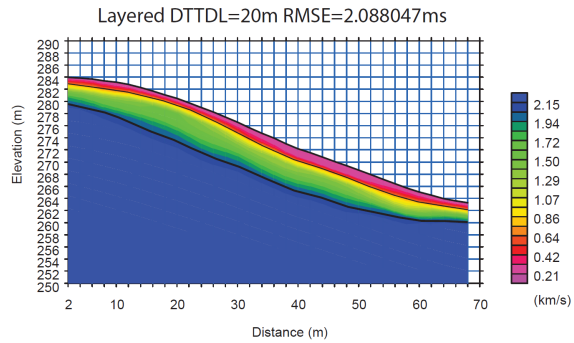
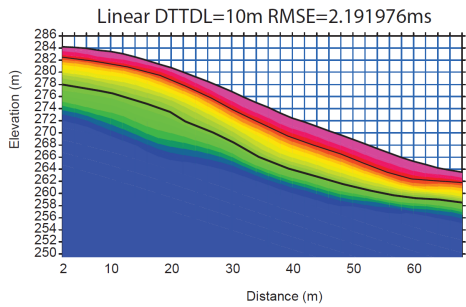
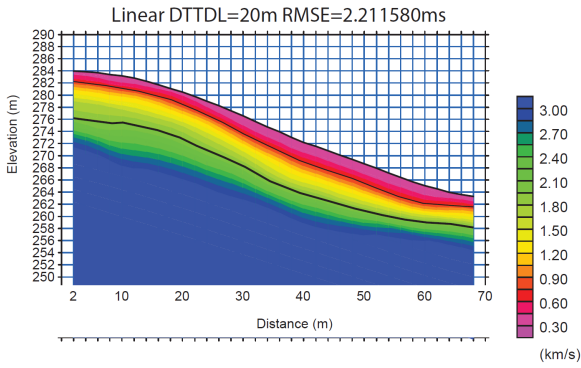


Figure S4. Comparison tomography of south-facing slope using different starting models (linear versus layered). All models produce low mean squared errors. Despite differences in color scale, all models show bedrock at 4-6 m depth.

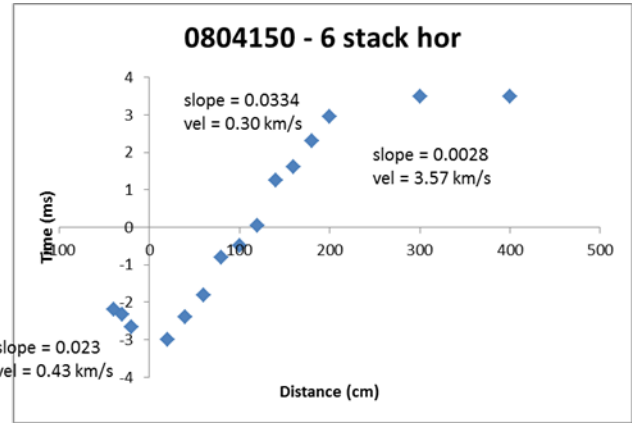
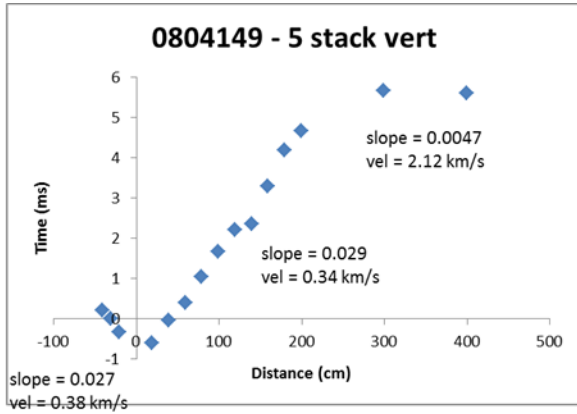


Figure S5. Distance versus time plots for surveys collected near the open pit at the base of the south facing slope of SSHO.

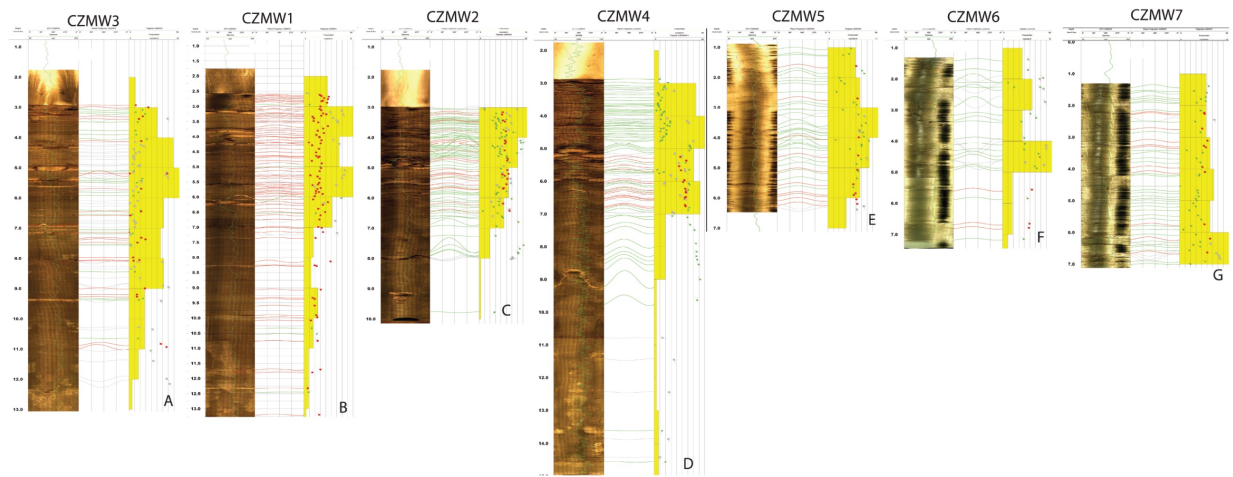


Figure S6. Optical televiewer logs of monitoring wells completed at SSHO. Fractures were picked from OTV logs using WellCad 4.3 (Advanced Logic Technology). Red and green lines show locations and dip magnitudes of major fractures. Tadpoles show fracture dip direction and fracture counts. Yellow bins show fracture densities (frequency/meter) with depth. A) OTV log of CZMW3, B) CZMW1, C) CZMW2, D) CZMW3, E) CZMW5, F) CZMW6, G) CZMW7. OTV logs for the Lynch well and CZMW8 are omitted because of lack of clear data in the upper meters of the wells. Amplitude of fractures reflect fracture dip angle.

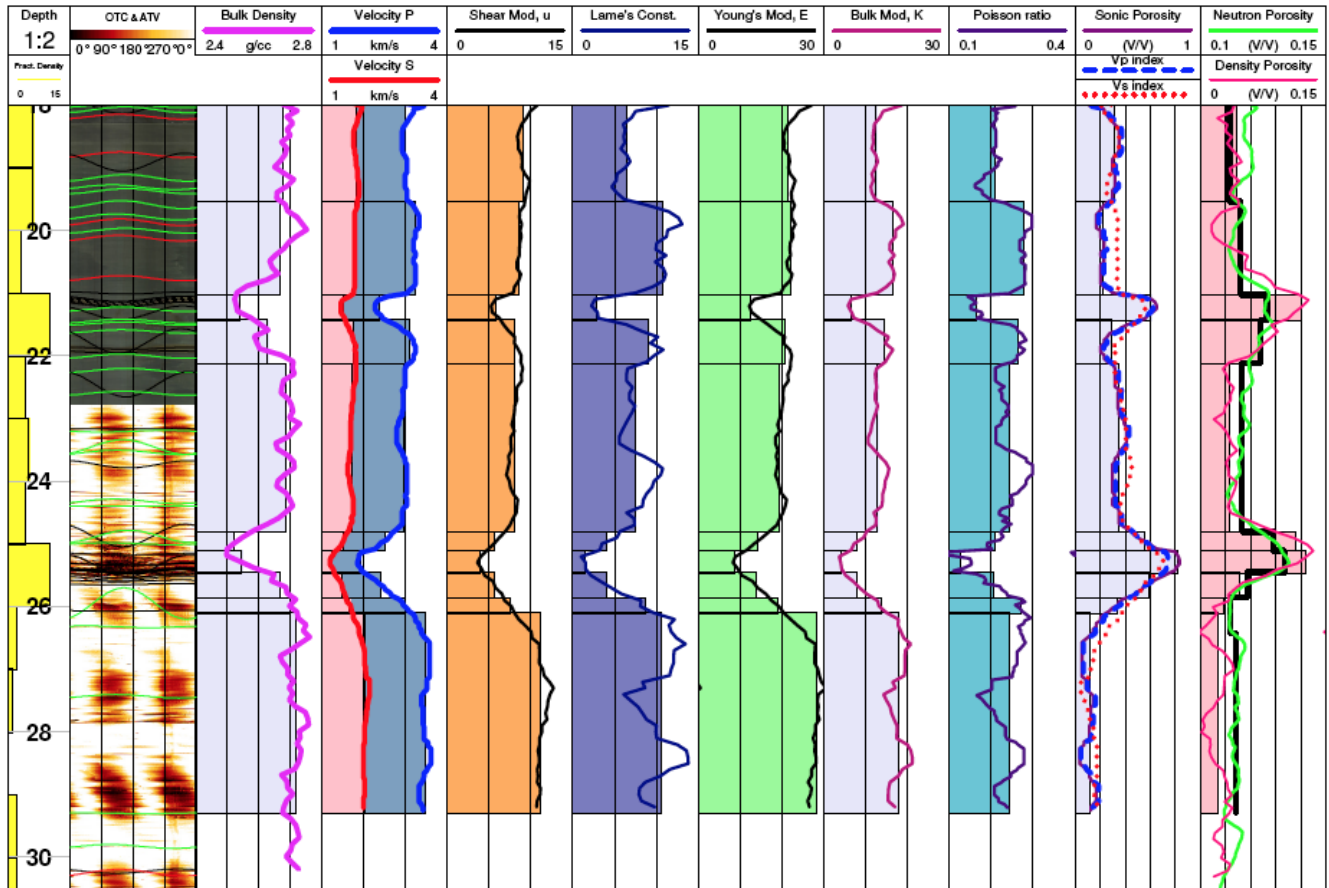


Figure S7. Borehole geophysical data for CZMW8, showing depth-correlated records of (left to right) observed bedrock/fracture distributions (OTV data) rock-mass density, seismic velocities and elastic properties, and multiple measures/scales of porosity. Lines are measured data, filled boxes are averaged data for each zone.